



# A Generative Language Model for Few-Shot Aspect-Based Sentiment Analysis

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## Overview

### Motivation

- Sentiment analysis is an important task in natural language processing.
- Aspect-based sentiment analysis, which involves extracting aspect term, category, and predicting their corresponding polarities.
- In recent works, pre-trained language models are often used to achieve state-of-the-art results, especially when training data is scarce.
- We are interested in few-shot settings.

### Proposal

- Recasting aspect-based sentiment analysis as a simple, causal (unidirectional) language modeling task
- The model learns to accomplish the tasks via language generation without the need of training task-specific layers which is essential for few-shot learning

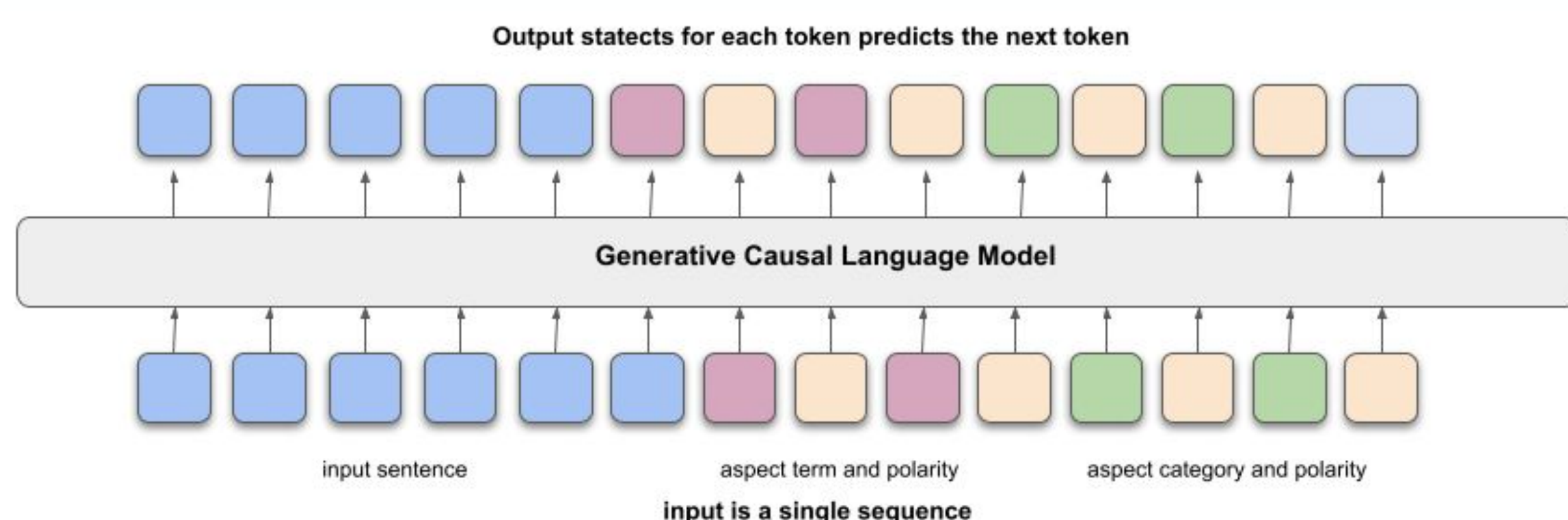
## Algorithm

- A single training sequence consists of the concatenation of review sentence, aspect terms, term polarities, aspect category, category polarities.

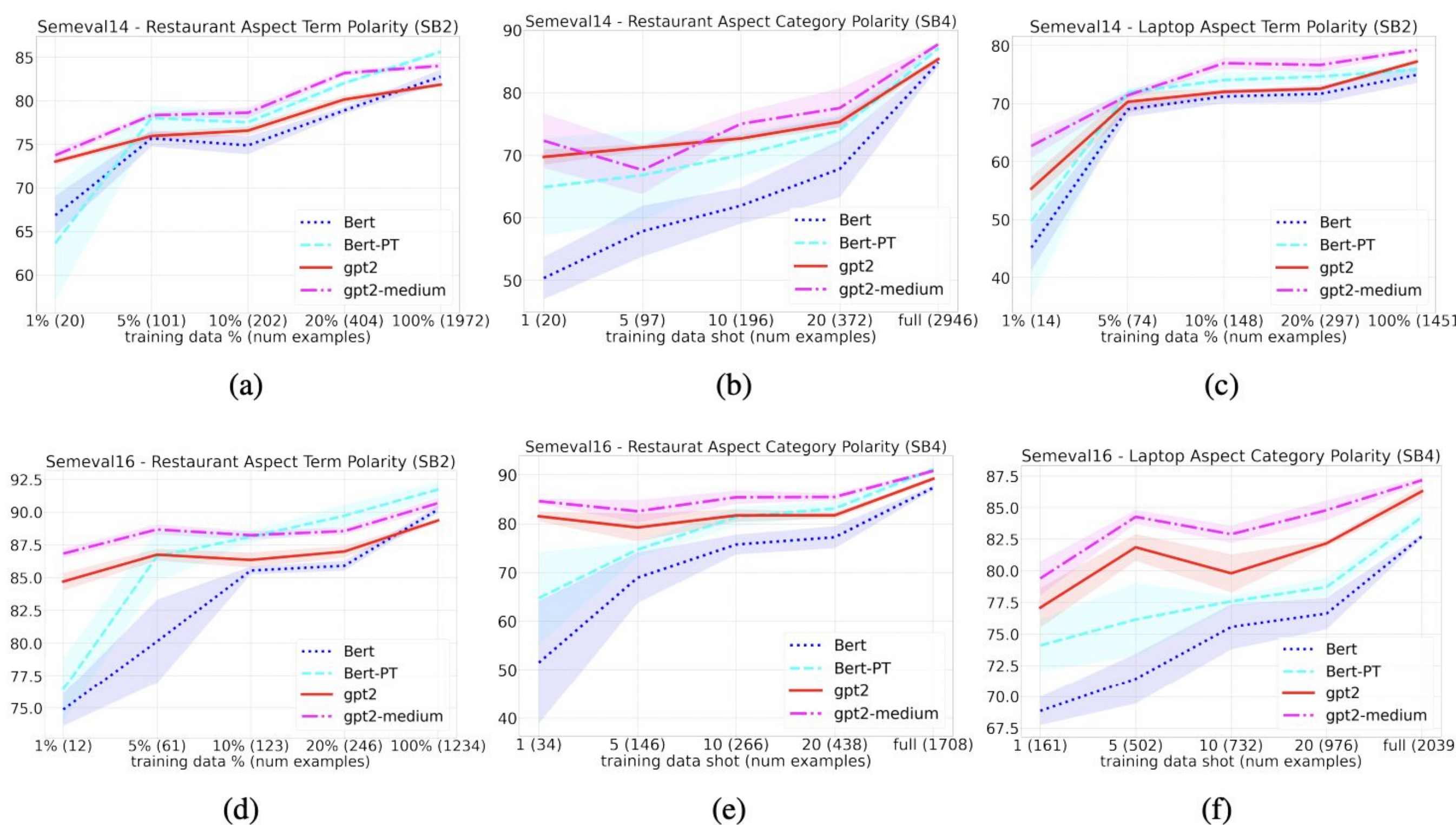
Sentence $S^k$	[review] review sentence [endofreview]
Aspect term $T^k$	[term] term <sub>1</sub> polarity <sub>1</sub> , term <sub>2</sub> polarity <sub>2</sub> , ... term <sub>I</sub> polarity <sub>I</sub> [end-ofterm]
Aspect category $C^k$	[category] category <sub>1</sub> polarity <sub>1</sub> , category <sub>2</sub> polarity <sub>2</sub> , ... category <sub>J</sub> polarity <sub>J</sub> [endofcategory]
Aspect term single and joint task training sequence ( $LM_{term}$ )	[review] review sentence [endofreview] [term] term <sub>1</sub> polarity <sub>1</sub> , ... [end-ofterm]
Aspect category single and joint task training sequence ( $LM_{category}$ )	[review] review sentence [endofreview] [category] category <sub>1</sub> polarity <sub>1</sub> , ... [endofcategory]
Multi-task training sequence ( $LM_{multi}$ )	[review] review sentence [endofreview] [term] term <sub>1</sub> polarity <sub>1</sub> , ... [end-ofterm] [category] category <sub>1</sub> polarity <sub>1</sub> , ... [endofcategory]

- Generative model is trained by minimizing the negative log likelihood over the joint sequence

$$p_{\theta}(x) = \prod_{t=0}^n p_{\theta}(x_t | x_{<t}) \quad \mathcal{L}_D = - \sum_{k=1}^K \sum_{t=1}^n \log p_{\theta}(x_t^k | x_{<t}^k)$$



### Single-task few-shot polarity prediction



**Single-task polarity prediction:** predicting the polarity of aspect terms or aspect categories

**Inference:** the input to the model (LM) comprises of k-th sentence and the corresponding aspect term or category

$$pt_i^k = LM_{term}(S^k, t_i^k) \quad pc_j^k = LM_{category}(S^k, c_j^k)$$

$LM_{term}$  : a single-task model that trained on aspect term dataset

$LM_{category}$  : a single-task model that trained on to aspect category dataset

### Joint- and Multi-task prediction

**Joint-task:** generating pairs of aspect term and term polarity, or pairs of aspect category and their polarity.

**Multi-task:** generating all pairs of aspect terms and aspect categories and their polarities.

**Inference:** the model input relies on the k-th review sentence only, and the model generates pairs in token-by-token (autoregressive) generation,

$$T^k = LM_{term}(S^k) \quad C^k = LM_{category}(S^k) \quad [T^k; C^k] = LM_{multi}(S^k)$$

$LM_{term}$  : a joint-task model that trained on aspect term dataset

$LM_{category}$  : a joint-task model that trained on aspect category dataset

$LM_{multi}$  : a multi-task model that trained on aspect term and aspect category dataset

## Results

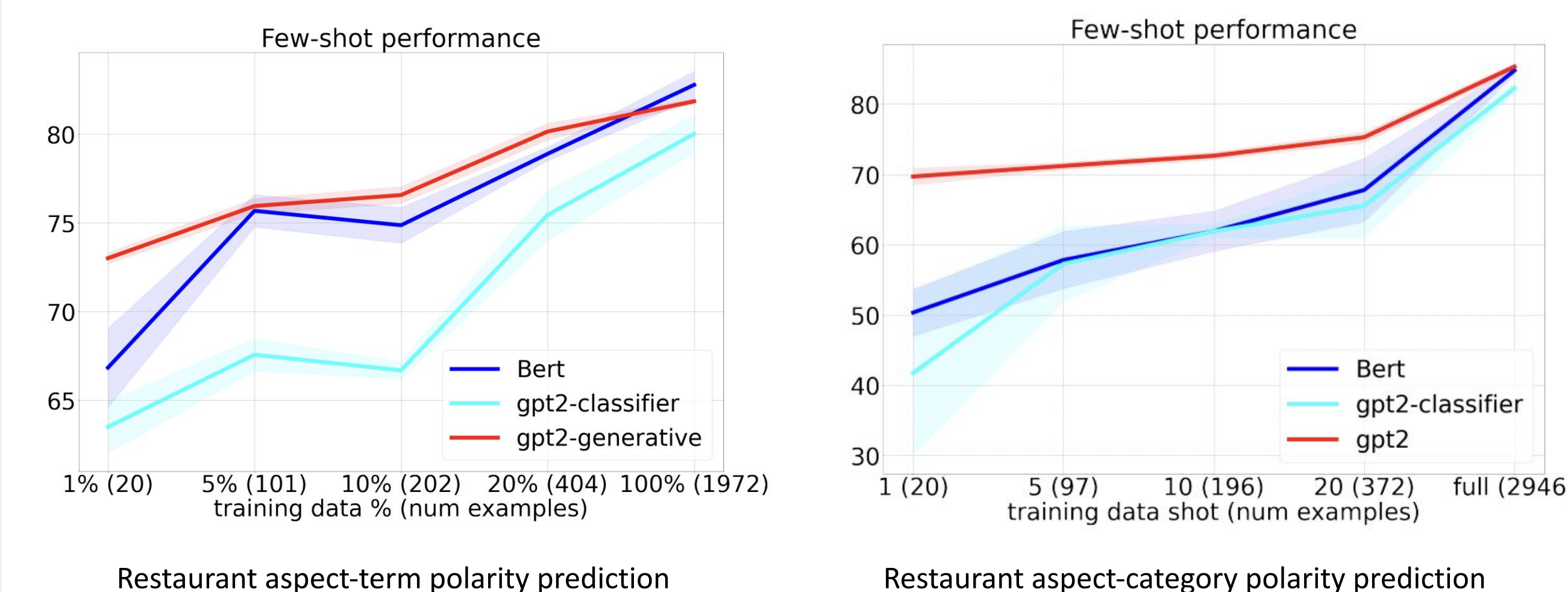
Method	Training Task	Model	Restaurant		Laptop	
			Joint Accuracy	SB1 (F1)	Joint Accuracy	SB1 (F1)
Discriminative	Single (SB1)	MGAN	-	71.48	-	71.42
		BERT	-	74.1	-	79.28
		BERT-DK	-	77.02	-	83.55
		BERT-MRC	-	74.21	-	81.06
		BERT-PT	-	77.97	-	84.26
		BERT-PSUM	-	-	-	85.94
Generative	Joint (SB1&2)	BERT-HSUM	-	-	-	<b>86.09</b>
		GPT2 (base)	56.47±0.82	77.59±0.32	50.65±1.04	72.61±1.03
	Multi (SB1-4)	GPT2 (medium)	60.07±0.52	<b>81.52±0.8</b>	53.55±0.43	75.94±0.17
		GPT2 (base)	49.84±1.03	77.92±0.53	-	-
		GPT2 (medium)	54.43±0.47	<b>82.04±0.21</b>	-	-

**SB1:** aspect term extraction sub-task

**Restaurant domain:** joint- and multi-task model still outperforms previous single-task models

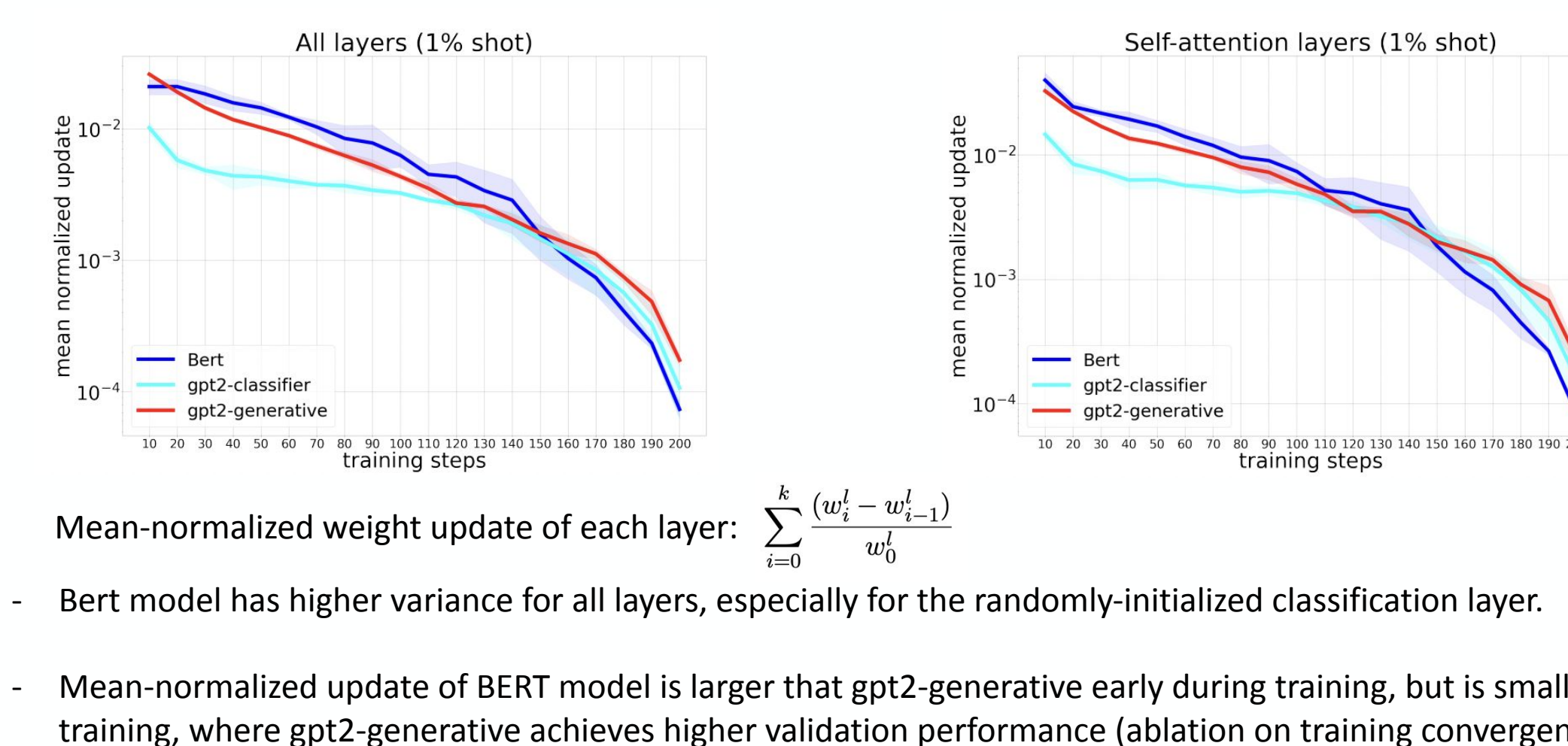
**Previous Bert-based models:** trained to solve single-task aspect term extraction only, on aspect term extraction

### Ablation: Generative vs. Discriminative training of language model

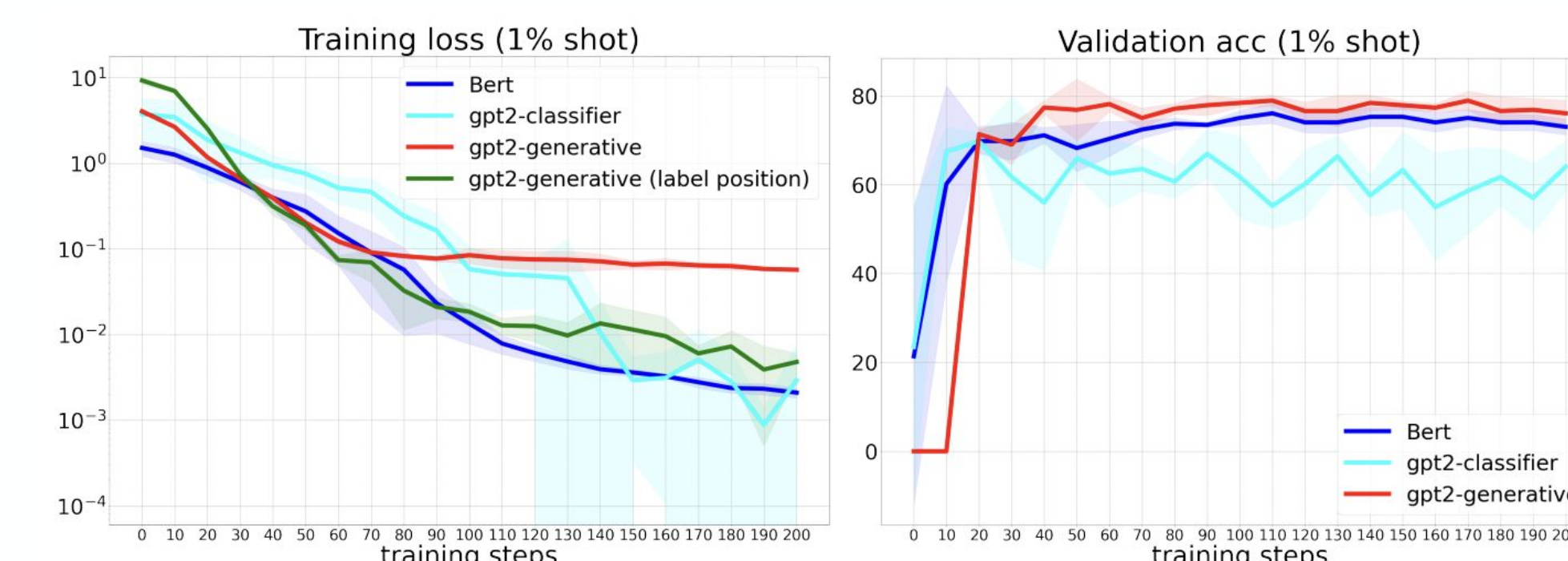


- when fine-tuning GPT2 model as a classifier on the downstream task using an classification layer, it under-performs BERT model on few and full-shot settings.

### Ablation: Model parameter shift



### Ablation: Training convergence



- BERT model converges faster than GPT2 in 1% few-shot settings, due to using a small classification head
- GPT2 converges more slowly, perhaps due to using language modeling loss, i.e. cross-entropy loss across all tokens of the input sequence

**Conclusion:** GPT2 language model exploits more supervision than BERT in few-shot setting

### Multi-task Generation

Sentence	Task	Model Output
the sangria's - watered down.	aspect term	<term> sangria negative
	aspect category	<category> food <b>neutral</b>
	aspect term & category	<term> sangria negative <category> food <b>negative</b>
	groundtruth	<term> sangria negative <category> food negative
everyone who works there (the host, the bartender, the servers) is so helpful.	aspect term	host positive, bartender <b>neutral</b> , servers positive
	aspect category	<category> service positive
	aspect term & category	<term> host positive, bartender <b>positive</b> , servers positive <category> service positive
	groundtruth	<term> bartender positive, host positive, servers positive <category> service positive



- [1] Xu, Hu, et al., "Bert post-training for review reading comprehension and aspect-based sentiment analysis.", *NAACL-HLT*, 2019.
- [2] Reddy, Natesh, et al. "Does bert understand sentiment? leveraging comparisons between contextual and non-contextual embeddings to improve aspect-based sentiment models." arXiv:2011.11673., 2020.
- [3] Liu, Jian, et al., "Solving aspect category sentiment analysis as a text generation task.", *EMNLP*, 2021.